

Financial Planning Agreement

VANTAGE FINANCIAL PARTNERS

General Purpose

By this agreement, the client engages Vantage Financial Partners Limited (hereinafter "Advisor") to provide financial planning services, as described below, and Vantage agrees to provide these services. Any and all data submitted will be treated on a strictly confidential basis.

Services to be Provided: (Please select plan type)

| <u>Financial Plans</u> | <u>Initial Fee</u> | <u>Retainer Fee</u> |
|--|--|--|
| <input type="checkbox"/> Executive Advantage | \$5000.00 (\$416.00/month via Credit Card) | \$2000.00 (\$166.66/month via Credit Card) |
| <input type="checkbox"/> Planning Advantage | \$3000.00 (\$250.00/month via Credit Card) | \$1000.00 (\$83.33/month via Credit Card) |
| <input type="checkbox"/> Planning Advantage II | \$1000.00 (\$83.33/month via Credit Card) | \$ 500.00 (\$41.66/month via Credit Card) |

Fee Structure

The Client agrees to pay the applicable fee as noted above. Fees may be paid by check or credit card. For payment by check, the fee will be invoiced quarterly with the first quarterly payment due upon signing. Payment by credit card will be charged in 12 equal monthly installments. After 12 months the monthly credit card charge will be automatically adjusted to reflect the retainer fee as shown above. Credit card charges will continue until written termination is received from the client. Current regulations do not allow payment in full at time of signing.

Credit card payment may be authorized at www.vantagefinancial.com. Click on "Clients" at the top of the page then "Financial Planning – Pay Online". Select your Financial Plan and click on "Pay for Financial Plan".

Services to be Provided by Advisor

The Advisor will provide the following financial planning services, (hereinafter referred to as "Service") including a written evaluation and analysis of information provided by the Client regarding Client's financial goals, objectives, and current situation, as well as ongoing financial advice and consultation. The Advisor will provide to Client specific recommendations, which may include, but are not limited to the following: business concerns, employee benefits, tax planning, estate planning, financing options, investment planning, retirement planning, education funding, and business coordination with personal objectives. Under this agreement, the Advisor will not have discretionary power in relation to the assets of the Client.

Information to be Provided by Client

The Client will provide all relevant data and furnish such other information as may be required, including supporting and source documents. The Client acknowledges that the engagement has been based upon the information provided by the Client. The Client agrees to inform the Advisor of any material changes in the information the Client has supplied, and further agrees to indemnify and hold harmless from any liability, which may arise in any matter or form on account of the provision of inaccurate or incomplete information, which Client may furnish to Advisor. However, federal and state securities laws impose liabilities under certain circumstances on persons who act in good faith and therefore nothing contained in this agreement shall, in any way, constitute a waiver of limitation of any rights Client may have under federal or state securities laws.

Disclosures

The Client shall make and be fully responsible for decisions relating to any advice that the Advisor renders on his/her behalf. The Advisor does not provide legal or accounting advice, and therefore recommends that the client consult with an attorney and accountant on any legal and tax matter relating to the financial plan. Planning Advantage II

requires clients have at least an initial \$250,000 in assets that are being managed by Investment Managers at Vantage Financial and is limited to simple financial structures or fixed income portfolios.

Receipt of ADV Part II & Privacy Policy

In accordance with Rule 204-3 of the Investment Advisers Act of 1940 the client acknowledges receipt of Part II of Form ADV. Client further acknowledges receipt of Vantage's Privacy Policy.

Assignment

By reason of the terms and provisions of the Investment Advisers Act of 1940, no assignment of this agreement shall be permissible without the express written authorization of the client.

Terms of Engagement

Services will continue, annually, unless terminated by either party with 30 days written notice. The client has the right to terminate the agreement without penalty at any time within five business days after the date of signing the contract if Form ADV II was not delivered within 48 hours before contract execution. Upon termination, the client will be reimbursed all unearned fees or an invoice will be issued for all fees outstanding.

Governing Law

This agreement shall be governed in accordance with the laws of the State of Illinois.

Name of Client

Street Address

Signature

Date

City, State, Zip

Name of Client

Vantage Financial Partners Limited

Signature

Date

Authorized Relationship Manager

For Office Use Only:

RB _____

PM _____